

# INLAND WATERWAYS USERS BOARD MEETING NO. 94

## RECERTIFICATION OF WATERBORNE TRANSPORTATION COLLECTIONS

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22 July 2020

*"The views, opinions and findings contained in this report are those of the authors(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other official documentation."*



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# AGENDA

- Authorization and History
- Forms Overview
- Digital Systems that Use Forms
- Possible changes
- Questions and Comments



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# AUTHORIZATION AND HISTORY

- Rivers & Harbors Act of 1922 (amended and codified in 33 USC 555) authorizes the USACE to collect, process, distribute and archive waterborne commercial vessel movement and cargo data and to maintain information on vessel characteristics, port facilities, dredging costs, and the performance of navigation locks.
- Water Resources Development Act of 1986 provides for the conservation and development of water and related resources and the improvement and rehabilitation of the Nation's water resources infrastructure.
- 33 CFR § 207.800 codifies the collection of navigation statistics, defines key terms, reporting requirements and guidance, and penalties for non-compliance.
  - ENG Forms for Vessel Operation Reports (3925, 3925b, 3925c, and 3925p), Description of Vessels (3932 and 3931), and Lockage/Vessel Logs (3102B, 3102C, and 3102D)



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# FORMS OVERVIEW

- Vessel Operations Reports Forms:  
<https://publibrary.planusace.us/#/document/c3792bef-29d5-4c8a-cc29-51a939a3db52>
- Description of Vessels Forms:  
[https://www.publications.usace.army.mil/Portals/76/Publications/EngineerForms/ENG\\_FORM\\_3932\\_Apr\\_2003.pdf](https://www.publications.usace.army.mil/Portals/76/Publications/EngineerForms/ENG_FORM_3932_Apr_2003.pdf)  
[https://www.publications.usace.army.mil/Portals/76/Publications/EngineerForms/ENG\\_FORM\\_3931\\_Apr\\_2003.pdf](https://www.publications.usace.army.mil/Portals/76/Publications/EngineerForms/ENG_FORM_3931_Apr_2003.pdf)
- Lock Forms:  
<https://usace.contentdm.oclc.org/digital/collection/p16021coll2/id/2664>



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<i>Before filing out this form, see reverse side for Privacy Act Statement and Instructions</i>		<b>VESSEL OPERATION REPORT</b> <i>(ER 335-2-1)</i> <b>STATEMENT OF FREIGHT AND PASSENGERS CARRIED</b>										OMB APPROVAL NO. 0710-0006			
Public reporting burden for this collection of information is estimated to average 23 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Department of Defense, Washington Headquarters Services, Directorate for												Information Operations and Reports 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302; and to the Office of Management and Budget, Paperwork Reduction Project (0710-0006), Washington D.C. 20503. DO NOT RETURN your completed form to either of those addresses. Send your completed form to: Department of Defense, U. S. Army Corps of Engineers, Waterborne Commerce Statistics Center, POB 61280, New Orleans, LA 70161			
TRIP NO.	YEAR	TYPE OF VESSEL (Check one) SELF-PROPELLED-TANKER TOW BOAT OR TUGBOAT		SELF-PROPELLED, DRY CARGO AND PASSENGER NON-SELF-PROPELLED, TANKER NON-SELF-PROPELLED, DRY CARGO				OTHER	NAME OR NUMBER OF VESSEL			NET REGISTER TONS	FLAG		
LOADED AT -				DISCHARGED AT -				UNLOADED CARGO DATA <i>(If light, so state)</i>				CONT RED	HARBOR MAINTENANCE FEE INFORMATION		
PORT OR LOCALITY	DOCK	DATE	ACTUAL DRAFT	PORT OR LOCALITY	DOCK	DATE	ACTUAL DRAFT	COMMODITY	QTY	UNIT <sup>1</sup>	WEIGHT PER UNIT		NET TONS (2000 pounds)	NAME OF SHIPPER	SHIPPER'S SSN OR IRS NO. <i>(ENTER "SSN" OR "IRS" NEXT TO NUMBER)</i>
<b>CERTIFICATION</b>  <b>I HEREBY CERTIFY THAT THIS IS A COMPLETE AND AN ACCURATE REPORT</b>								ALTERNATE CHANNELS <i>(When more than one route is possible, indicate alternate channels used.) (See inside cover.)</i>							
OPERATOR'S NAME AND TITLE				ADDRESS				NAME OF LOCALITIES ENTERED FOR -							
SIGNATURE				TELEPHONE				a. REFUGE				b. REPAIRS			
								REMARKS							
FOOTNOTES: <sup>1</sup> Gross Tons, Bushels, etc.															

ENG FORM 3925

(Proponent: CEIWR-NDC-C)


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File Name

For use of this form, see ER 1130-2-520, Chapter 5: the proponent agency is CECW-OD.

The public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0710-0009), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and also to Office of Information and Regulatory Affairs Office of Management and Budget, Washington, DC 20503; Attention: Desk Officer for US Army Corps of Engineers. Respondents should be aware that not withstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

**PLEASE DO NOT RETURN YOUR APPLICATION TO THE ABOVE ADDRESSES. RETURN COMPLETED APPLICATION TO THE ADDRESS SHOWN ON THE APPLICATION INSTRUCTION SHEET.**

[illegible]

PREVIOUS EDITIONS ARE OBSOLETE.

SHEET NUMBER



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# THE DIGITAL SYSTEMS USED TODAY:

## TRANSPORTATION OPERATIONS WATERBORNE STATISTICS (TOWS)

## LOCK PERFORMANCE MONITORING SYSTEM (LPMS)

### TOWS

Collects and reports data on powered vessels, unpowered vessels, and commodities moving within the United States.

Data is entered by industry and submitted to USACE via paper or electronic forms

### LPMS

Collects and reports data on powered vessels and commodities traversing through USACE owned or operated locks.

Data is entered by lock operators.

- LPMS data is “real-time” but represents estimates for commodity traffic; tracks physical site performance; presented at Corps Locks
- TOWS data is delayed due to submittal procedures, but represents a detailed account for all vessel and commodity traffic.
- LPMS used to quality check TOWS



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# POSSIBLE CHANGES

- A move towards the Harmonized System (HS) Code for internationally standardized commodity listings.
  - LPMS would collect at 2 – 4 digits (i.e. the chapter and/or heading information)
  - TOWS would collect at 6 – 10 digits
- A move towards digital submissions for TOWS data



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# QUESTIONS OR COMMENTS?



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